

Export Documentary Collection Liquidation Islamic User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Export Documentary Collection Liquidation Islamic User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export Documentary Collection Liquidation Islamic process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry



standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

| Convention      | Meaning                                                                                                                                |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------|
| <b>boldface</b> | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.                         |
| <i>italic</i>   | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.                          |
| monospace       | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

| Icons                                                                               | Function    |
|-------------------------------------------------------------------------------------|-------------|
|  | Exit        |
|  | Add row     |
|  | Delete row  |
|  | Option List |

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

### 3. Export Documentary Collection Liquidation - Islamic

Export Documentary Collection Liquidation Islamic process facilitates the user to handle the payment to an exporter from an importer via an intermediary bank.

The process describes the various steps involved in Liquidation of Islamic Export Documentary Collection Bill.

The various stages involved for Export Doc Collection Liquidation are:

- Input application details and Upload of related documents (Non Online Channel) - Registration stage
- Input/Modify details of Collection Liquidation - Data Enrichment stage
- Check balance availability for amount block if applicable
- Check for sanctions & KYC status
- Create amount block if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Export Collection Liquidation process flow is similar to that of conventional Export Collection Liquidation process flow.

This section contains the following topics:

|                                             |                                     |
|---------------------------------------------|-------------------------------------|
| <a href="#">3.1 Common Initiation Stage</a> | <a href="#">3.2 Registration</a>    |
| <a href="#">3.3 Data Enrichment</a>         | <a href="#">3.4 Exceptions</a>      |
| <a href="#">3.5 Multi Level Approval</a>    | <a href="#">3.6 Reject Approval</a> |

#### 3.1 Common Initiation Stage

The user can initiate the new export documentary collection liquidation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

| Field        | Description                                   |
|--------------|-----------------------------------------------|
| Process Name | Select the process name to initiate the task. |
| Branch       | Select the branch.                            |

### 3.1.0.1 Action Buttons

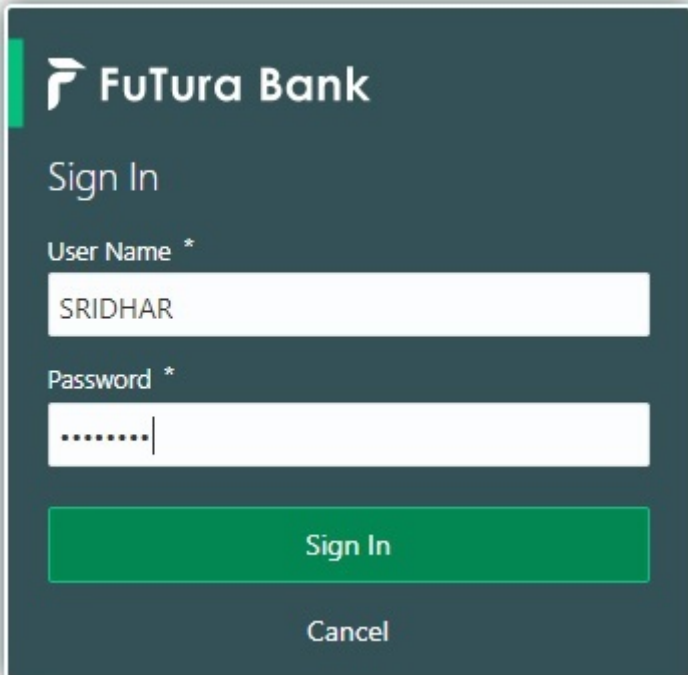
Use action buttons based on the description in the following table:

| Field   | Description                                                        |
|---------|--------------------------------------------------------------------|
| Proceed | Task will get initiated to next logical stage.                     |
| Clear   | The user can clear the contents update and can input values again. |

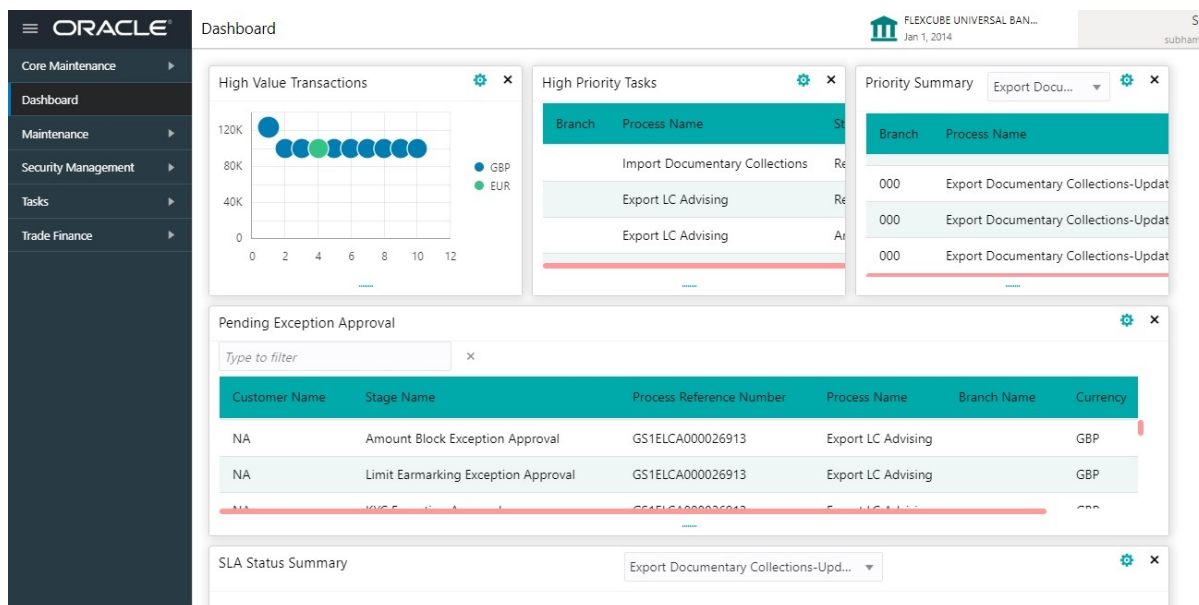
## 3.2 Registration

A User can register request for an Islamic Export Collection Liquidation at the front desk. During Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for trade finance expert to handle the request in the next stage.

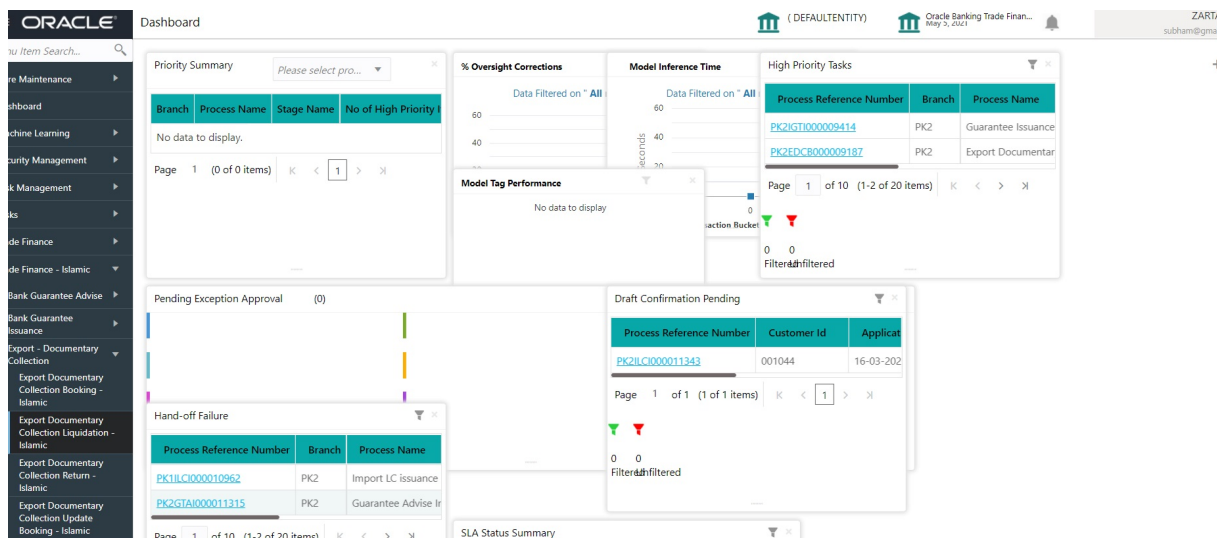
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance - Islamic > Export - Documentary Collection > Export Documentary Collection Liquidation - Islamic**.



The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

### 3.2.1 Application Details

Port Documentary Collection Liquidation - Islamic

Documents Remarks Customer Instruction Common Group Messages

#### Application Details

Documentary Collection Number \*  
DCN821125A2PA

Drawer \*  
000326 PHIL HAMPTON

Branch  
PK2-Oracle Banking Trade Finan...

Bill Amount \*  
GBP £998.00

Amount In Local Currency  
£998.00

Process Reference Number  
PK2IEDL000071367

Priority  
Medium

Submission Mode  
Desk

Booking Date \*  
/ 5, 2021

Version Number  
1

View Collection Ever

#### Collection Details

Documents Received

Tenor Type  
Sight

Product Code  
DCN8

Product Description  
INCOMING SIGHT BILLS UNDER LC PAY

Collection Type

Contract Reference Number  
PK2DCN821125A2PA

Drawee  
000325 NATIONAL FREK

Collecting Bank

Outstanding Amount  
£998.00

Liquidation Amount \*  
GBP £0.00

Finance Amount  
GBP

Unlinked FX Rate

Rate Amount

Customer Dispatch

Hold Cancel Save & Close Subr

Provide the Basic Details based on the description in the following table:

| Field                         | Description                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values               |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Documentary Collection Number | Provide the Documentary Collection Number. Alternatively, user can search the Documentary Collection Number using LOV.<br><br>In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details. |                             |
| Drawer                        | Read only field.<br><br>Drawer ID and Drawer Name will be auto-populated based on the selected Documentary Collection Number.                                                                                                                                                                                                                                                                                       |                             |
| Branch                        | Read only field.<br><br>Branch details will be auto-populated based on the selected Documentary Collection Number.                                                                                                                                                                                                                                                                                                  | 203-Bank Futura -Branch FZ1 |
| Bill Amount                   | Read only field.<br><br>Bill currency and amount will be auto-populated based on the selected Documentary Collection Number.                                                                                                                                                                                                                                                                                        |                             |
| Amount In Local Currency      | System fetches the local currency equivalent value for the LC amount from back office (with decimal places).                                                                                                                                                                                                                                                                                                        |                             |
| Process Reference Number      | Unique OBTFPM task reference number for the transaction.<br><br>This is auto generated by the system based on process name and branch code.                                                                                                                                                                                                                                                                         |                             |

| Field            | Description                                                                                                                                                                                                                                            | Sample Values |
|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Priority         | System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit. | High          |
| Submission Mode  | Select the submission mode of Export Documentary Collection Liquidation request. By default the submission mode will have the value as 'Desk'.<br><br><b>Desk-</b> Request received through Desk<br><b>Courier-</b> Request received through Courier   | Desk          |
| Liquidation Date | By default, the application will display branch's current date.                                                                                                                                                                                        | 04/13/2018    |
| Version Number   | This field displays the latest version of the bill.                                                                                                                                                                                                    |               |

### 3.2.2 Collection Details

Registration user can provide collection details in this section. Alternately, details can be captured by Data Enrichment user.

Provide the Liquidation Details based on the description in the following table:

| Field               | Description                                                                                                                    | Sample Values |
|---------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents Received  | Read only field.<br><br>Documents received details will be auto-populated based on the selected Documentary Collection Number. |               |
| Tenor Type          | Read only field.<br><br>Tenor will be auto-populated based on the selected Documentary Collection Number.                      |               |
| Product Code        | Read only field.<br><br>Product code will be auto-populated based on the selected Documentary Collection Number.               |               |
| Product Description | Read only field.<br><br>This field displays the description of the product as per the product code.                            |               |

| Field                     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Sample Values |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Operation Type            | Operation Code will be auto-populated from the collection booking. User can update the operation code, if required.                                                                                                                                                                                                                                                                                                                                              |               |
| Contract Reference Number | System to populate contract reference number from the back end system once the Documentary Collection Number is selected.                                                                                                                                                                                                                                                                                                                                        |               |
| Drawee                    | Read only field.<br><br>Drawee ID and Drawee Name will be auto-populated based on the selected Documentary Collection Number.                                                                                                                                                                                                                                                                                                                                    |               |
| Collecting Bank           | Read only field.<br>This field displays the Collecting Bank ID and name as per the collecting bank ID.                                                                                                                                                                                                                                                                                                                                                           |               |
| Bill Outstanding Amount   | Read only field.<br><br>Bill Outstanding Amount will be auto-populated based on the selected Documentary Collection Number.                                                                                                                                                                                                                                                                                                                                      |               |
| Liquidation Amount        | Provide the bill amount to be liquidated.                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Finance Amount            | Read only field.<br><br>Finance Amount will be auto-populated based on the selected Documentary Collection Number.                                                                                                                                                                                                                                                                                                                                               |               |
| Unlinked FX Rate          | Provide the unlinked FX rate.                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Rebate Amount             | Read only field.<br><br>Rebate to the bill outstanding amount.                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Customer Dispatch         | The value will be populated from back office based on the maintenance.<br><br><b>Toggle On:</b> If the toggle is set to <b>Yes</b> , the customer has the option to dispatch the documents directly to the importer's bank.<br><br><b>Toggle Off:</b> If the toggle is set to <b>No</b> , the bank has to dispatch the documents to the importer's bank.<br><br>Bank user is not allowed to edit the field, if the value defaulted from the back office is "No", |               |



### 3.2.3 Miscellaneous

ort Documentary Collection Liquidation - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Documentary Collection Number \*  
DCN821125A2PA

Drawer \*  
000326 PHIL HAMPTON

Branch  
PK2-Oracle Banking Trade Finan...

Bill Amount \*  
GBP £998.00

Amount In Local Currency  
£998.00

Process Reference Number  
PK2IEDL000071367

Priority  
Medium

Submission Mode  
Desk

Expiration Date \*  
5, 2021

Version Number  
1

View Collection Even

Collection Details

Documents Received

Tenor Type  
Sight

Product Code  
DCN8

Product Description  
INCOMING SIGHT BILLS UNDER LC PAY

Collection Type

Contract Reference Number  
PK2DCN821125A2PA

Drawee  
000325 NATIONAL FREI

Collecting Bank

Outstanding Amount  
£998.00

Liquidation Amount \*  
GBP £0.00

Finance Amount  
GBP

Unlinked FX Rate

Due Amount

Customer Dispatch

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents             | Upload the documents received under the Documentary Collection.                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Remarks               | Provide any additional information regarding the collection. This information can be viewed by other users handling the request.                                                                                                                                                                                                                                                                                                                                                                         |               |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Common Group Message  | Click Common Group Message button, to send MT799 and MT999 messages from within the task.                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| View Collection       | Enables user to view the details of the collection.                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| Events                | On click, system will display the details of collection and liquidations if any in chronological sequence.                                                                                                                                                                                                                                                                                                                                                                                               |               |
| Checklist             | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.                                                                                                                                                                                                                                                                                                                                        |               |

| Field                 | Description                                                                                                                                                                                                                             | Sample Values |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| <b>Action Buttons</b> |                                                                                                                                                                                                                                         |               |
| Submit                | On submit, task will move to next logical stage of Export Documentary Collection Liquidation.<br>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.         |               |
| Save & Close          | Save the information provided and holds the task in 'My Task' queue for working later.<br>This option will not submit the request.                                                                                                      |               |
| Cancel                | Cancels the Export Documentary Collection Liquidation Task. Details entered will not be saved and the task will be removed.                                                                                                             |               |
| Hold                  | The details provided will be saved and status will be on hold. User must update the remarks on the reason for marking the task as Hold.<br>This option is used, if there are any pending information yet to be received from applicant. |               |

### 3.3 Data Enrichment

On successful completion of Registration of an Islamic Export Documentary Collection Liquidation, the task moves to Data Enrichment stage. As part of Data Enrichment, user enters Liquidation basic details of the incoming Islamic Export Doc Collection Liquidation request. At this stage the information captured during registration are validated.

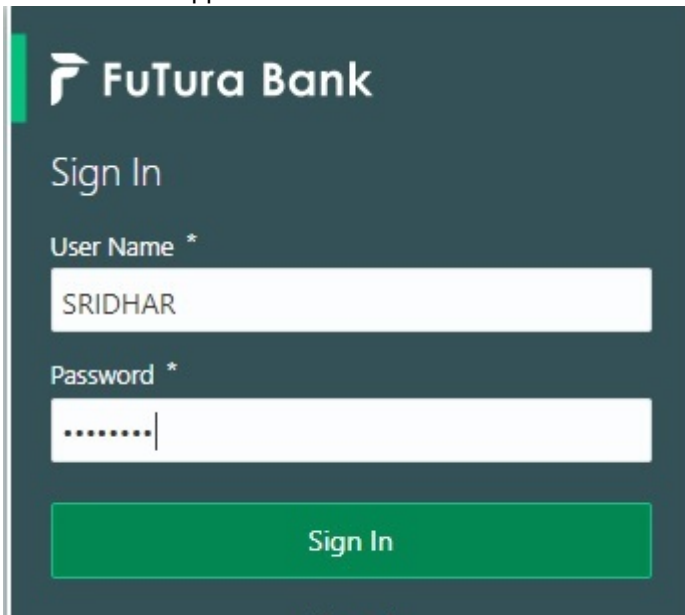
---

#### **Note**

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task at Free Task DE stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

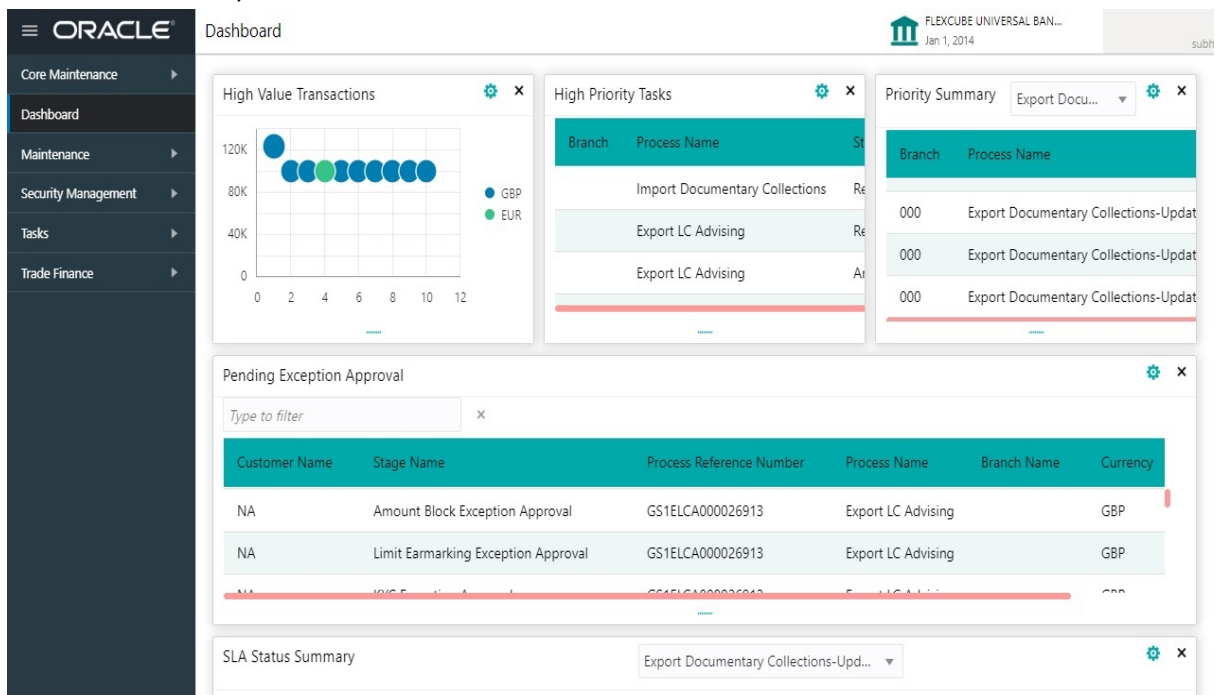
SRIDHAR

Password \*

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



**ORACLE** Dashboard

Core Maintenance  
Dashboard  
Maintenance  
Security Management  
Tasks  
Trade Finance

High Value Transactions

High Priority Tasks

Priority Summary

Pending Exception Approval

SLA Status Summary

| Customer Name | Stage Name                          | Process Reference Number | Process Name       | Branch Name | Currency |
|---------------|-------------------------------------|--------------------------|--------------------|-------------|----------|
| NA            | Amount Block Exception Approval     | GS1ELCA000026913         | Export LC Advising |             | GBP      |
| NA            | Limit Earmarking Exception Approval | GS1ELCA000026913         | Export LC Advising |             | GBP      |

### 3. Click **Tasks> Free Tasks**.

Free Tasks

Menu Item Search...

Core Maintenance Dashboard Machine Learning Security Management Task Management Tasks Awaiting Customer Clarification Business Process Maintenance Completed Tasks **Free Tasks** Hold Tasks My Tasks Search

Refresh Acquire Flow Diagram

| Action         | Priority | Process Name                          | Process Reference Number | Application Number | Stage                        | Application Date | Branch | Customer |
|----------------|----------|---------------------------------------|--------------------------|--------------------|------------------------------|------------------|--------|----------|
| Acquire & Edit | Medium   | Islamic Export Documentary Collection | PK2IEDL000011377         | PK2IEDL000011377   | DataEnrichment               | 22-03-17         | PK2    | 000325   |
| Acquire & Edit | Medium   | Export LC Advise                      | PK2ELCA000011375         | PK2ELCA000011375   | DataEnrichment               | 22-03-17         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import Documentary Collection Book    | PK2IDCB000011327         | PK2IDCB000011327   | Approval Task Level 1        | 22-03-16         | PK2    | 001043   |
| Acquire & Edit | Medium   | Import LC Issuance                    | PK2ILCI000011358         | PK2ILCI000011358   | Scrutiny                     | 22-03-16         | PK2    | 001043   |
| Acquire & Edit | Medium   | Import Documentary Collection Book    | PK2IDCB000011355         | PK2IDCB000011355   | Approval Task Level 1        | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import LC Liquidation                 | PK2ILCL000011354         | PK2ILCL000011354   | Approval Task Level 1        | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import LC Issuance                    | PK2ILCI000011343         | PK2ILCI000011343   | CustomerResponseVerification | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import LC Issuance                    | PK1ILCI000010962         | PK1ILCI000010962   | Handoff RetryTask            | 22-03-08         | PK2    | 000325   |
| Acquire & Edit | Medium   | Export LC Liquidation                 | PK2ELCL000011334         | PK2ELCL000011334   | KYC Exceptional approval     | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Export LC Liquidation                 | PK2ELCL000011332         | PK2ELCL000011332   | KYC Exceptional approval     | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Export LC Advise                      | PK2ELCA000011326         | PK2ELCA000011326   | KYC Exceptional approval     | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Shipping Guarantee Issuance           | PK2SGTI000011319         | PK2SGTI000011319   | DataEnrichment               | 22-03-15         | PK2    | 001044   |
| Acquire & Edit | Medium   | Export LC Drawing                     | PK2ELCD000011318         | PK2ELCD000011318   | Scrutiny                     | 22-03-15         | PK2    | 001044   |

Page 1 of 263 (1 - 20 of 5250 items) K < 1 2 3 4 5 ... 263 > X

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Menu Item Search...

Core Maintenance Dashboard Machine Learning Security Management Task Management Tasks Awaiting Customer Clarification Business Process Maintenance Completed Tasks **Free Tasks** Hold Tasks My Tasks Search

Refresh Acquire Flow Diagram

| Action         | Priority | Process Name                          | Process Reference Number | Application Number | Stage                        | Application Date | Branch | Customer |
|----------------|----------|---------------------------------------|--------------------------|--------------------|------------------------------|------------------|--------|----------|
| Acquire & Edit | Medium   | Islamic Export Documentary Collection | PK2IEDL000011377         | PK2IEDL000011377   | DataEnrichment               | 22-03-17         | PK2    | 000325   |
| Acquire & Edit | Medium   | Export LC Advise                      | PK2ELCA000011375         | PK2ELCA000011375   | DataEnrichment               | 22-03-17         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import Documentary Collection Book    | PK2IDCB000011327         | PK2IDCB000011327   | Approval Task Level 1        | 22-03-16         | PK2    | 001043   |
| Acquire & Edit | Medium   | Import LC Issuance                    | PK2ILCI000011358         | PK2ILCI000011358   | Scrutiny                     | 22-03-16         | PK2    | 001043   |
| Acquire & Edit | Medium   | Import Documentary Collection Book    | PK2IDCB000011355         | PK2IDCB000011355   | Approval Task Level 1        | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import LC Liquidation                 | PK2ILCL000011354         | PK2ILCL000011354   | Approval Task Level 1        | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import LC Issuance                    | PK2ILCI000011343         | PK2ILCI000011343   | CustomerResponseVerification | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Import LC Issuance                    | PK1ILCI000010962         | PK1ILCI000010962   | Handoff RetryTask            | 22-03-08         | PK2    | 000325   |
| Acquire & Edit | Medium   | Export LC Liquidation                 | PK2ELCL000011334         | PK2ELCL000011334   | KYC Exceptional approval     | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Export LC Liquidation                 | PK2ELCL000011332         | PK2ELCL000011332   | KYC Exceptional approval     | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Export LC Advise                      | PK2ELCA000011326         | PK2ELCA000011326   | KYC Exceptional approval     | 22-03-16         | PK2    | 001044   |
| Acquire & Edit | Medium   | Shipping Guarantee Issuance           | PK2SGTI000011319         | PK2SGTI000011319   | DataEnrichment               | 22-03-15         | PK2    | 001044   |
| Acquire & Edit | Medium   | Export LC Drawing                     | PK2ELCD000011318         | PK2ELCD000011318   | Scrutiny                     | 22-03-15         | PK2    | 001044   |

Page 1 of 263 (1 - 20 of 5250 items) K < 1 2 3 4 5 ... 263 > X

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to update the task.

My Tasks

Menu Item Search...

Core Maintenance Dashboard Machine Learning Security Management Task Management Tasks Awaiting Customer Clarification Business Process Maintenance Completed Tasks Free Tasks **My Tasks** Hold Tasks Search

Refresh Release Escalate Delegate Flow Diagram

| Action | Priority | Process Name                          | Process Reference Number | Application Number | Stage                    | Application Date | Branch | Customer Number |
|--------|----------|---------------------------------------|--------------------------|--------------------|--------------------------|------------------|--------|-----------------|
| Edit   | Medium   | Islamic Export Documentary Collection | PK2IEDL000011377         | PK2IEDL000011377   | DataEnrichment           | 22-03-17         | PK2    | 000325          |
| Edit   | --       | Import LC Amendment                   | PK2ILCA000011376         | PK2ILCA000011376   | Registration             | 22-03-17         | PK2    | 001044          |
| Edit   | Medium   | Islamic Export Documentary Collection | PK2IEDU000011316         | PK2IEDU000011316   | KYC Exceptional approval | 22-03-15         | PK2    | 000153          |
| Edit   | Medium   | Export LC Drawing Update              | PK2ELCU000011182         | PK2ELCU000011182   | Handoff RetryTask        | 22-03-13         | PK2    | 001044          |
| Edit   | --       | Islamic Import LC Amendment           | PK2IILA000011175         | PK2IILA000011175   | Registration             | 22-03-12         | PK2    | 001044          |
| Edit   | --       | Islamic Import LC Amendment           | PK2IILA000011174         | PK2IILA000011174   | Registration             | 22-03-12         | PK2    | 000153          |
| Edit   | --       | Export LC Amendment Book              | PK2IEAM000011169         | PK2IEAM000011169   | Registration             | 22-03-12         | PK2    | 001044          |
| Edit   | --       | Export LC Amendment Book              | PK2IEAM000011168         | PK2IEAM000011168   | Registration             | 22-03-12         | PK2    | 001044          |
| Edit   | --       | Islamic Import Documentary Collection | PK2IIDU000011157         | PK2IIDU000011157   | Registration             | 22-03-12         | PK2    | 001044          |
| Edit   | Medium   | Islamic Import Documentary Collection | PK2IIDL000011114         | PK2IIDL000011114   | DataEnrichment           | 22-03-11         | PK2    | 001044          |
| Edit   | Medium   | Islamic Import Documentary Collection | PK2IIDL000011111         | PK2IIDL000011111   | DataEnrichment           | 22-03-11         | PK2    | 001044          |
| Edit   | Medium   | Islamic Export Documentary Collection | PK2IEDU000011070         | PK2IEDU000011070   | DataEnrichment           | 22-03-10         | PK2    | 001044          |
| Edit   | Medium   | Export Documentary Collection         | 000EDCB000011051         | 000EDCB000011051   | Registration             | 22-03-10         | PK2    | 000335          |

Page 1 of 11 (1 - 20 of 215 items) K < 1 2 3 4 5 ... 11 > X

The Data Enrichment stage has the following hops for data capture:

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from registration channels may not be editable.

### 3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

#### 3.3.1.1 Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

mic Export Documentary Collection Liquidation  
aEnrichment :: Application No:- PK2IEDL000071367

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Screen (1 /

**Main Details**

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

**Main Details**

Application Details

Documentary Collection Number \*

PK2DCN821125A2PA

Drawer \*

000326 PHIL HAMPTON

Branch

PK2-Oracle Banking Trade Finan...

Bill Amount \*

GBP £998.00

Amount In Local Currency

GBP £998.00

Process Reference Number

PK2IEDL000071367

Priority

Medium

Submission Mode

Desk

Liquidation Date \*

May 5, 2021

Version Number

2

Collection Details

Documents Received

Tenor Type \*

Sight

Product Code \*

DCN8

Product Description

INCOMING SIGHT BILLS UNDER LC PAY

Operation Type

PAY

Contract Reference Number

PK2DCN821125A2PA

Drawee

000325 NATIONAL FREI

Collecting Bank

Bill Outstanding Amount

GBP £998.00

Liquidation Amount \*

GBP £0.00

Finance Amount

GBP

Unlinked FX Rate

Rebate Amount

GBP

Customer Dispatch

Reject Refer Hold Cancel Save & Close Back Ne

#### 3.3.1.2 Collection Details

The fields listed under this section are same as the fields listed under the [3.2.2 Collection Details](#) section in [3.2 Registration](#). Refer to [3.2.2 Collection Details](#) for more information of the fields. During Registration, if user has not captured, then user can capture the details in this section. If details were captured in Registration stage, the DE user can edit/update them.

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | <p>Click to view the overrides accepted by the user.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>                     |               |
| View Collection       | <p>Enables the user to view the latest collection values displayed in the respective fields.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Cancel                | <p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>                                                                                                                                                                                                                                                                                                                                                                                      |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Hold   | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                          |               |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

3.3.2 Document Details

A DE User can enter the basic document details of Islamic Export Documentary Collection Liquidation.

ORACLE

(DEFAULT ENTITY)

Oracle Banking Trade Finan...  
Jun 13, 2021

ZARITA  
subham@gmail

Islamic Export Documentary Collection Liquidation  
Enrichment :: Application No:- PK2IEDL000017652

Clarification DetailsDocumentsRemarksOverridesCustomer InstructionView Collection

Main Details  
Document Details  
Other Details  
Shipment Details  
Maturity Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Document Details

Documents Details

| Document Code | Document Type | Documents Description | Document Reference | Copies Received | Originals Received | Document Clause | Document Date | Action                                                       |
|---------------|---------------|-----------------------|--------------------|-----------------|--------------------|-----------------|---------------|--------------------------------------------------------------|
| ARABDOC12     | V             | arabic doc            | WW                 |                 |                    | ARABDOC12       |               | <input checked="" type="checkbox"/> <input type="checkbox"/> |
| OTHERDOC      | O             | OTHERDOC              |                    |                 |                    | OTHERDOC        |               | <input checked="" type="checkbox"/> <input type="checkbox"/> |

Screen ( 2 /

HitRequest ClarificationRejectReferHoldCancelSave & CloseBackNext



### 3.3.2.1

| Field                | Description                                                                                                                                                         | Sample Values |
|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Document Code        | Click Search to search and select the document code.<br><br>User can add or delete the code by deleting the line on the grid.                                       |               |
| Document Type        | System will populate the document type based on the document code.<br><br>Click the link to view the document type.                                                 |               |
| Document Description | System will populate the document description based on the document code.<br><br>Click the link to view the document description.<br>User can edit the description. |               |
| Document Reference   | Enables the user to capture the document reference.                                                                                                                 |               |
| Copies Received      | Provide the number copies received from the Drawer. User can edit the actual copies received.                                                                       |               |
| Originals Received   | Provide the number of original documents received from the Drawer. User can edit the actual originals received.                                                     |               |
|                      |                                                                                                                                                                     |               |
| Document Date        | Specify or select the date on which the document is received.                                                                                                       |               |
| Action               | Click Edit icon to edit the document details.<br><br>Click Delete icon to delete the document details.                                                              |               |

### 3.3.3 Other Details

Other Details enables the user to validate the Other details for Islamic Export Collection

## Liquidation.

**ORACLE** (DEFAULT IDENTITY) Oracle Banking Trade Finance May 24, 2021 ZART subham@gm

Islamic Export Documentary Collection Liquidation  
Data Enrichment :: Application No:- PK2IEDL000026634

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details Other Details Shipment Details Maturity Details Additional Fields Advances Additional Details Settlement Details Summary

Other Details

Debit Value Date May 5, 2021 Credit Value Date May 5, 2021 Value Date May 5, 2021

Other Bank Charges

Other Bank Charges-1 Other Bank Charges-2 Other Bank Charges-3 Other Bank Charge Description-1

Other Bank Charge Description-2 Other Bank Charge Description-3

Other Bank Profit

Start Date

Other Bank Profit-1 Other Bank Profit-2 Other Bank Profit-3

Component PRO1 Component PRO2 Component PRO3

Component Description Profit Rate Profit Basis Waive

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back N

### Other Details

Provide the other bank charges based on the description in the following table:

| Field             | Description                                | Sample Values |
|-------------------|--------------------------------------------|---------------|
| Debit Value Date  | Read only field.<br>The debit value date.  |               |
| Credit Value Date | Read only field.<br>The credit value date. |               |
| Value Date        | Read only field.<br>The value date.        |               |

#### 3.3.3.1 Other Bank Charges

Provide the other bank charges based on the description in the following table:

| Field                     | Description                                                                                                | Sample Values |
|---------------------------|------------------------------------------------------------------------------------------------------------|---------------|
| Other Bank Charges - 1    | Charges to be collected for the other bank as part of the collection transaction.                          |               |
| Other Bank Charges - 2    | Charges to be collected for the other bank as part of the collection transaction.                          |               |
| Other Bank Charges - 3    | Charges to be collected for the other bank as part of the collection transaction.                          |               |
| Other Bank Description -1 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |               |

| Field                          | Description                                                                                                | Sample Values |
|--------------------------------|------------------------------------------------------------------------------------------------------------|---------------|
| Other Bank Description -2 to 3 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |               |
| Other Bank Description -3      | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |               |

### 3.3.3.2 Other Bank Profit

The user can enter the profit details to be captured as a part of “Other Bank Profit” details section.

Provide the other bank profit based on the description in the following table:

| Field                          | Description                                                                                                                           | Sample Values |
|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Start Date                     | Select the date from which the system starts calculating the profit.                                                                  |               |
| Other Bank Interest-1, 2 and 3 |                                                                                                                                       |               |
| Component                      | This field displays the name of the interest Component.                                                                               |               |
| Component Description          | Specify the description of the profit component.                                                                                      |               |
| Profit Rate                    | Specify the rate to be applied for the profit component.                                                                              |               |
| Profit Basis                   | The calculation basis on which the profit to be computed.                                                                             |               |
| Waive                          | Select whether the profit to be waived off.<br>The options are: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul> |               |

### 3.3.4 Shipment Details

User can view the shipment details updated during Islamic Export Collection Liquidation.

This section displays the shipment details from Import Collection Booking:

| Field                                                                                                    | Description                                                                                                                                                                                                                                                                                                                                                                                                               | Sample Values |
|----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Transshipment                                                                                            | System defaults the transshipment details of goods from Export Collection Booking.<br>The user can change the value.                                                                                                                                                                                                                                                                                                      |               |
| Partial Shipment                                                                                         | System defaults the partial shipment details of goods from Export Collection Booking.<br>The user can change the value.                                                                                                                                                                                                                                                                                                   |               |
| Date Of Shipment                                                                                         | System defaults the date of shipment from Export Collection Booking.<br>The user can change the value.                                                                                                                                                                                                                                                                                                                    |               |
| Place Of Taking In Charge                                                                                | System defaults the place Of taking in charge.<br>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.<br>The user can change the value. |               |
| <p style="text-align: center;"><b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>.</p> |                                                                                                                                                                                                                                                                                                                                                                                                                           |               |

| Field                                | Description                                                                                                                                                                                                                                              | Sample Values |
|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Port Of Loading                      | <p>Specify the port of loading.</p> <p>This field specifies the port of loading or place of taking in charge to be indicated on the transport document.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Taking In Charge</b>.</p> |               |
| Port Of Discharge                    | <p>Specify the port of discharge or airport of destination to be indicated on the transport document.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Final Destination</b>.</p>                                                  |               |
| Place Of Final Delivery              | <p>System defaults the final destination or place of delivery to be indicated on the transport document.</p> <p>The user can change the value.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Discharge</b>.</p>                  |               |
| Carrier Name                         | <p>System defaults the name of the carrier through which the goods were shipped from Export Collection Booking.</p> <p>The user can change the value.</p>                                                                                                |               |
| Shipping Agent Name                  | Specify the shipping agent name from Export Collection Booking.                                                                                                                                                                                          |               |
| Shipping Agent Address               | Specify the name shipping agent address from Export Collection Booking.                                                                                                                                                                                  |               |
| INCO Terms                           | Select the INCO terms from the document received.                                                                                                                                                                                                        |               |
| INCO Terms Description               | The description of the INCO Term.                                                                                                                                                                                                                        |               |
| Description of Goods and/or Services |                                                                                                                                                                                                                                                          |               |
| Goods Code                           | Click look up icon to select the goods code. Once you select goods code, value will default in Goods Type and Goods Description.                                                                                                                         |               |
| Goods Type                           | The goods type is auto populated depending on selected goods code.                                                                                                                                                                                       |               |

| Field                                | Description                                                                                     | Sample Values |
|--------------------------------------|-------------------------------------------------------------------------------------------------|---------------|
| Goods Description                    | This field displays the description of goods based on goods code.                               |               |
| Action                               | Click Edit icon to edit the goods code.<br>Click Delete icon to delete the goods code.          |               |
| Country of Origin                    | Specify the country of origin.                                                                  |               |
| Insurance Company Code               | Click Search icon to search and select the insurance company code.                              |               |
| Insurance Company                    | This field displays the insurance company details based on the selected Insurance Company Code. |               |
| Policy Number                        | Specify the policy number from Import Collection Booking.                                       |               |
| <del>Insurance Company Address</del> | <del>This field displays the insurance address from Export Collection Booking.</del>            |               |

#### 3.3.4.1 Multimodal/Transshipment Details

This section displays the multimodal/transshipment details from Export Collection Booking.

| Field        | Description                                                                                   | Sample Values |
|--------------|-----------------------------------------------------------------------------------------------|---------------|
| Carrier Name | Specify the carrier name from Export Collection Booking.                                      |               |
| Port         | Specify the port details from Export Collection Booking.                                      |               |
| Action       | Click Edit icon to edit the carrier name and port.<br>Click Delete icon to delete the record. |               |

### 3.3.5 Maturity Details

This section displays the draft details from the documents submitted under Islamic Export Collection Booking Update and Maturity and Multi Tenor Liquidation Details.

Oracle Islamic Export Documentary Collection Liquidation  
Application No: PK2IEDL000026634

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details Other Details Shipment Details **Maturity Details** Additional Fields Advices Additional Details Settlement Details Summary

Maturity Details Screen ( 5 / )

| Draft Code       | Draft Amount | Action |
|------------------|--------------|--------|
| Insurance amount | £120.00      |        |

Multi Tenor

| S.No | Tenor Basis | Tenor Description | Start Date  | Tenor Days | Transit Days | Maturity Date | Bill Amount | Liquidated Amount | Exchange Rate | Liquidation Date | Liquidation Amount |
|------|-------------|-------------------|-------------|------------|--------------|---------------|-------------|-------------------|---------------|------------------|--------------------|
| 1    |             |                   | May 5, 2021 | 30         | 0            | Jun 4, 2021   |             |                   |               |                  |                    |

Profit from Date: May 1, 2021 Profit To Date: Sep 1, 2021 Acceptance Commission From Date: May 5, 2021 Acceptance Commission To Date: Jun 4, 2021

Request Clarification Reject Refer Hold Cancel Save & Close Back New

Provide the maturity details based on the description in the following table:

| Field             | Description                                                                                | Sample Values |
|-------------------|--------------------------------------------------------------------------------------------|---------------|
| Draft Code        | Click Search icon to search and select the draft code.                                     |               |
| Draft Amount      | Specify the draft amount based on the documents submitted under Export Collection Booking. |               |
| Action            | Click Edit icon to edit the draft code.<br>Click Delete icon to delete the draft code.     |               |
| Multi Tenor       |                                                                                            |               |
| SNo.              | Serial number of the tenor record.                                                         |               |
| Tenor Basis       | Read only field.<br>This field displays the tenor basis, if the tenor is not sight.        |               |
| Tenor Description | The tenor base code description is displayed based on the selected tenor basis.            |               |
| Tenor Start Date  | Read only field.<br>This field displays the tenor start date.                              |               |
| Tenor Days        | Read only field.<br>This field displays the number of tenor days.                          |               |

| Field                           | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|---------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Transit Days                    | Read only field.<br>This field displays the transit days, if the tenor is sight.                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Maturity Date                   | Read only field.<br>This field displays the due date for the drawing based on tenor and tenor basis.<br><br>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.<br><br>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date. |               |
| Bill Amount                     | Read only field.<br>This field displays the the bill amount.                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Liquidated Amount               | Specify the liquidated amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |
| Exchange Rate                   | Specify the Exchange Rate.                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Liquidation Date                | Specify the liquidation date.                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Liquidation Amount              | Specify the liquidation amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| Action                          | Click Edit icon to edit the tenor record.<br>Click Delete icon to delete the tenor record.                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Profit from Date                | Select the profit from date. The profit from date cannot be earlier than branch date and later than maturity date.                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Profit to Date                  | Select the maturity date in this field, if profit from Date is provided.                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| Acceptance Commission From Date | This field displays the acceptance commission from date.                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| Acceptance Commission To Date   | This field displays the acceptance commission to date.                                                                                                                                                                                                                                                                                                                                                                                                                                               |               |



### 3.3.6 Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields screen.

The screenshot shows the 'Additional Fields' screen for 'Islamic Export Documentary Collection Liquidation'. The top navigation bar includes buttons for 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Common Group Messages', and 'View Collection'. The left sidebar contains a list of menu items: 'Main Details', 'Document Details', 'Other Details', 'Shipment Details', 'Maturity Details', 'Additional Fields' (highlighted), 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The main content area displays 'Additional Fields' with a sub-header 'Additional Fields' and a message 'No Additional fields configured!'. The bottom of the screen features an 'Audit' button on the left and a row of action buttons: 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', and 'Back'.

### 3.3.7 Advices

A DE user can check the advices data segment details of an Islamic Export Collection Liquidation. Advices maintained in the back office will be defaulted in this tile format. User can suppress the advices that are not required for the task.

The screenshot shows the 'Advices' screen for 'Islamic Export Documentary Collection Liquidation'. The top navigation bar includes buttons for 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Common Group Messages', and 'View Collection'. The left sidebar contains a list of menu items: 'Main Details', 'Document Details', 'Other Details', 'Shipment Details', 'Maturity Details', 'Additional Fields', 'Advices' (highlighted), 'Additional Details', 'Settlement Details', and 'Summary'. The main content area displays 'Advices' with a sub-header 'Advices' and a list of advice items. One advice item is visible: 'Advice : PAYMENT\_MESS...'. Below this, the details for the selected advice are shown: 'Advice Name : PAYMENT\_MESSAGE', 'Advice Party :', 'Party Name :', 'Suppress : NO', and 'Advice :'. The bottom of the screen features an 'Audit' button on the left and a row of action buttons: 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', and 'Back'.

### 3.3.8 Additional Details

A DE user can verify and enter the basic additional details available in the Islamic Export Collection Liquidation.

ORACLE

(DEFAULT ENTITY)

Oracle Banking Trade Finan...  
Jun 13, 2021

ZARAT  
subham@gmail

Islamic Export Documentary Collection Liquidation  
Enrichment :: Application No:- PK2IEDL000024160

Clarification DetailsDocumentsRemarksOverridesCustomer InstructionView Collection

Main Details  
Document Details  
Other Details  
Shipment Details  
Maturity Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Details

| Limit & Collateral                                                                                                                               | Charge Details                                                        | Preview Message                                    | Payment Details                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Limit/Liability :<br>Currency :<br>Limit Contribution :<br>Limit Status :<br>Collateral Currency :<br>Collateral Contr. :<br>Collateral Status : | Charge : <b>GBP 550.00</b><br>Commission :<br>Tax :<br>Block Status : | Language :<br>Preview Message : -                  | Allow Rollover :<br>Auto Change from :<br>Acceptance to :<br>Advance<br>Liquidate using<br>Collateral |
| FX Linkage                                                                                                                                       | Pre-Shipment Details                                                  | Linked Loan Details                                |                                                                                                       |
| Reference Number :<br>Currency :<br>Contract Amount :                                                                                            | No data to display.                                                   | Loan Account :<br>Loan Currency :<br>Loan Amount : |                                                                                                       |

Screen ( 8 /

uditRequest ClarificationRejectReferHoldCancelSave & CloseBackNe

#### 3.3.8.1 Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBT FPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

| Customer ID | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Amount to Earmark | Limit Check Response | Response Message | Edit |
|-------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|-------------------|----------------------|------------------|------|
| 100172      | Facility     |                  |                        |             | 100            | AED                   | 1000              |                      |                  | 11   |

ash Collateral Details

| Sequence Number     | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Respor |
|---------------------|-----------------------------|--------------------|---------------|--------------|---------------------|-----------------------------------------|------------------------------|
| No data to display. |                             |                    |               |              |                     |                                         |                              |

Deposit Linkage Details

| Deposit Account  | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit             | Delete |
|------------------|------------------|-----------------------|----------------------|-------------------------------------------|--------------------------------------|------------------|--------|
| PK2CDP1221100002 | GBP              | 2023-04-20            | GBP                  | 87508                                     | £495.00                              | PK2CDP1221100002 |        |

Page 1 (0 of 0 items)

Save & Close Close

Limit Details

Customer Id

001044

Linkage Type \*

Facility

Contribution % \*

1.0

Liability Number \*

PK2LIAB01

Contribution Currency

GBP

Line Id/Linkage Ref No \*

PK2L01SL1

Limit/Liability Currency

GBP

Limits Description

Limit Check Response

Available

Amount to Earmark \*

AED 100.00

Expiry Date

Limit Available Amount

£999,999,903.89

Response Message

The Earmark can be performed as the f

ELCM Reference Number

Verify

Save & Close

Close

| Field                             | Description                               | Sample Values |
|-----------------------------------|-------------------------------------------|---------------|
| <div>Plus Icon</div> <div>+</div> | Click plus icon to add new Limit Details. |               |

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

| Field                     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer ID               | Applicant's/Applicant Bank customer ID will get defaulted.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |               |
| Linkage Type              | <p>Select the linkage type.<br/>Linkage type can be:</p> <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> </ul> <p>By default Linkage Type should be "Facility".</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Contribution%             | <p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Liability Number          | <p>Click <b>Search</b> to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Contribution Currency     | The LC currency will be defaulted in this field.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Line ID/Linkage Ref No    | <p>Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b>.</p> |               |
| Limit/ Liability Currency | Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Limits Description        | This field displays the limits description.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |

| Field                                                                      | Description                                                                                                                                                                                                                                       | Sample Values |
|----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Limit Check Response                                                       | Response can be 'Success' or 'Limit not Available' based on the limit service call response.                                                                                                                                                      |               |
| <del>Contribution Amount</del> Amount to Earmark                           | Amount to Earmark<br>will default based on the contribution %.<br>User can change the value.                                                                                                                                                      |               |
| Expiry Date                                                                | This field displays the date up to which the Line is valid                                                                                                                                                                                        |               |
| Limit Available Amount                                                     | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.<br><br>The value in this field appears, if you click the Verify button. |               |
| Response Message                                                           | Detailed Response message.<br><br>The value in this field appears, if you click the Verify button.                                                                                                                                                |               |
| ELCM Reference Number                                                      | This field displays the ELCM reference number.                                                                                                                                                                                                    |               |
| Below fields appear in the Limit Details grid along with the above fields. |                                                                                                                                                                                                                                                   |               |
| Line Serial                                                                | Displays the serial of the various lines available and mapped under the customer id.<br><br>This field appears on the Limits grid.                                                                                                                |               |
| Edit                                                                       | Click the link to edit the Limit Details                                                                                                                                                                                                          |               |
| Delete icon                                                                | Click delete icon to delete the existing limit details.                                                                                                                                                                                           |               |

## Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount \*

Sequence Number

1.0

Collateral Contribution Amount \*

NaN

Settlement Account Currency

AED

Contribution Amount in Account Currency

Response

VN

Verify

Collateral Amount to be Collected \*

Collateral Split % \*

34.0

Settlement Account \*

0912160013

Exchange Rate

Account Available Amount

Response Message

✓ Save & Close

✕ Cancel

| Field                   | Description | Sample Values |
|-------------------------|-------------|---------------|
| Cash Collateral Details |             |               |
|                         |             |               |
|                         |             |               |
|                         |             |               |

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

|                                   |                                                                                                                    |  |
|-----------------------------------|--------------------------------------------------------------------------------------------------------------------|--|
| Total Collateral Amount           | Read only field.<br>This field displays the total collateral amount provided by the user.                          |  |
| Collateral Amount to be Collected | Read only field.<br>This field displays the collateral amount yet to be collected as part of the collateral split. |  |
| Sequence Number                   | Read only field.<br>The sequence number is auto populated with the value, generated by the system.                 |  |
| Collateral Split %                | Specify the collateral split% to be collected against the selected settlement account.                             |  |

| Field                                   | Description                                                                                                                                                                                                                                                  | Sample Values |
|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Collateral Contribution Amount          | Specify the collateral amount to be collected against the selected settlement account.<br>User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %. |               |
| Settlement Account                      | Select the settlement account for the collateral amount.                                                                                                                                                                                                     |               |
| Settlement Account Currency             | Read only field.<br>This field displays the settlement account currency defaulted by the system.                                                                                                                                                             |               |
| Exchange Rate                           | Read only field.<br>This field displays the exchange rate, if the settlement account currency is different from the collateral currency.                                                                                                                     |               |
| Contribution Amount in Account Currency | Read only field.<br>This field displays the contribution amount in the settlement account currency as defaulted by the system.                                                                                                                               |               |
| Account Available Amount                | Read only field.<br>System populates the account available amount on clicking the <b>Verify</b> button.                                                                                                                                                      |               |
| Response                                | Read only field.<br>System populates the response on clicking the <b>Verify</b> button.                                                                                                                                                                      |               |
| Response Message                        | Read only field.<br>System populates the response message on clicking the <b>Verify</b> button.                                                                                                                                                              |               |
| Verify                                  | Click to verify the account balance of the Settlement Account.                                                                                                                                                                                               |               |
| Save & Close                            | Click to save and close the record.                                                                                                                                                                                                                          |               |
| Cancel                                  | Click to cancel the entry.                                                                                                                                                                                                                                   |               |

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

| Field                                                                                              | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Sample Values |
|----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Collateral %                                                                                       | <p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p> |               |
| Contribution Amount                                                                                | <p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>                                                                                                         |               |
| Account Balance Check Response                                                                     | <p>Read only field.</p> <p>System populates the Account Balance Check Response on clicking the Verify button.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Delete Icon<br> | Click minus icon to remove any existing Collateral Details.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Edit Link                                                                                          | Click edit link to edit any existing Collateral Details.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |

### Deposit Linkage Details

In this section which the deposit linkage details is captured.



System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

Customer Id

032204

Deposit Branch

032

Deposit Maturity Date

Apr 2, 2023

Deposit Available In Transaction Currency

AED31,323.00

Linkage Amount(Transaction Currency) \*

AEDAED 4,500.00

Deposit Account

032CD01230310001

Deposit Available Amount

AEDAED 31,323.00

Exchange Rate

1

Linkage Percentage % \*

45.0

Save & Close

Close

### 3.3.8.2

| Field                                                                                              | Description                                                                                                                                                                                                | Sample Values |
|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Click + plus icon to add new deposit details.                                                      |                                                                                                                                                                                                            |               |
| Customer Id                                                                                        | Customer ID is defaulted from the system.<br>User can change the customer ID.                                                                                                                              |               |
| Deposit Account                                                                                    | Click <b>Search</b> to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage. |               |
| Deposit Branch                                                                                     | Branch will be auto populated based on the Deposit account selection.                                                                                                                                      |               |
| Deposit Available Amount                                                                           | Amount will be auto-populated based on the Deposit Account selection.                                                                                                                                      |               |
| Deposit Maturity Date                                                                              | Maturity Date of deposit is displayed based on the Deposit Account selection.                                                                                                                              |               |
| Exchange Rate                                                                                      | Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.                                                              |               |
| Deposit Available in Transaction Currency                                                          | Deposit amount available should be displayed after exchange rate conversion, if applicable.                                                                                                                |               |
| Linkage Percentage%                                                                                | Specify the value for linkage percentage.                                                                                                                                                                  |               |
| Linkage Amount (Transaction Currency):                                                             | System to default the transaction amount user can change the value.<br><br>System validates the linking amount with available Deposit balance and should not allow to link more than the available amount. |               |
| Below fields appear in the <b>Deposit Details</b> grid along with the above fields.                |                                                                                                                                                                                                            |               |
| Deposit Currency                                                                                   | The currency will get defaulted in this field.                                                                                                                                                             |               |
| Transaction Currency                                                                               | The currency will get defaulted in this field from the underlying task.                                                                                                                                    |               |
| Delete Icon<br> | Click minus icon to remove the existing Linked deposit details by selecting the Deposit.                                                                                                                   |               |
| Edit Link                                                                                          | Click edit link to edit any existing deposit Details.                                                                                                                                                      |               |

### 3.3.8.3 Commission, Charges and Taxes

Charge Details

Recalculate Redefault

Commission Details

Event

Event Description

| Component           | Rate | Mod. Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settl. Acct | Amendable |
|---------------------|------|-----------|----------|--------|----------|-------|-------|--------------|-------------|-----------|
| No data to display. |      |           |          |        |          |       |       |              |             |           |

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Charge Details

| Component           | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. |              |            |          |        |          |         |       |       |              |                    |

Page 1 (0 of 0 items) < 1 >

Tax Details

| Component           | Type | Value Date | Ccy | Amount | Billing | Defer | Settl. Acct |
|---------------------|------|------------|-----|--------|---------|-------|-------------|
| No data to display. |      |            |     |        |         |       |             |

Save & Close Close

This section displays the commission details:

| Field             | Description                                                                                                                                                                                                                                                                                                                       | Sample Values |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Event             | Read only field.<br>This field displays the event name.                                                                                                                                                                                                                                                                           |               |
| Event Description | Read only field.<br>This field displays the description of the event.                                                                                                                                                                                                                                                             |               |
| Component         | This field displays the commission component.                                                                                                                                                                                                                                                                                     |               |
| Rate              | Defaults from product.<br><br>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.<br><br>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |               |
| Currency          | Defaults the currency in which the commission needs to be collected                                                                                                                                                                                                                                                               |               |

| Field              | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Sample Values |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Amount             | <p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p> |               |
| Modified Amount    | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Billing            | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Defer              | If check box is selected, charges/commissions has to be deferred and collected at any future step.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Waive              | <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>                                                                                                                                                                                                                                                                                                          |               |
| Charge Party       | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |
| Settlement Account | Details of the Settlement Account.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Amendable          | Displays if the field is amendable or not.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |

#### 3.3.8.4 Charge Details

This section displays charge details:

| Field        | Description                                                          | Sample Values |
|--------------|----------------------------------------------------------------------|---------------|
| Component    | Charge Component type.                                               |               |
| Tag Currency | Defaults the tag currency in which the charges have to be collected. |               |

| Field              | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Tag Amount         | Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Currency           | Defaults the currency in which the charges have to be collected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Amount             | An amount that is maintained under the product code gets defaulted in this field.                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| Modified Amount    | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Billing            | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> |               |
| Defer              | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>                                                      |               |
| Waive              | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>                                                                                                                                                                                                                                                                                                         |               |
| Charge Party       | Charge party will be applicant by default. You can change the value to beneficiary                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Settlement Account | Details of the settlement account.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

| Field              | Description                                                                                                                                                                                                                                         | Sample Values |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Component          | Tax Component type                                                                                                                                                                                                                                  |               |
| Type               | Type of tax Component.                                                                                                                                                                                                                              |               |
| Value Date         | This field displays the value date of tax component.                                                                                                                                                                                                |               |
| Currency           | The tax currency is the same as the commission.                                                                                                                                                                                                     |               |
| Amount             | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.                                                                                                                                |               |
| Billing            | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.<br><br>This field is disabled, if 'Defer' toggle is enabled.                                      |               |
| Defer              | If taxes have to be deferred and collected at any future step, this option has to be enabled.<br><br>The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |               |
| Settlement Account | Details of the settlement account.                                                                                                                                                                                                                  |               |

PaymentDetails

o Liquidate

Allow Rollover

Auto Change from Acceptance to Advance

Liquidate using Collateral

standing Collateral Amount

Split Settlement

Avalization

Settlement Details - Liquidation

| Component     | Currency | Debit/Credit | Account       | Account Description | Branch | Account Currency | Original Exchange Rate | Exchange Rate | Deal Reference |
|---------------|----------|--------------|---------------|---------------------|--------|------------------|------------------------|---------------|----------------|
| ILL_LIQ_AMT   | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | PK2    | GBP              | 1                      |               |                |
| ILL_LIQ_AMTEQ | GBP      | Credit       | PK20010440017 | FIXNETIX            | PK1    | GBP              | 1                      |               |                |

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Split Settlement

| Component      | Contract Currency | Amount |
|----------------|-------------------|--------|
| BILL_LIQ_AMTEQ | GBP               | 100    |

Page 1 of 1 (1 of 1 items)

Split Settlement Details

Fetch Exchange Rate

| Sequence | Amount | Settlement Account | Account Custom... | Account Currency | Account Branch | Original Exchange Rate | Exchange Rate | Deal Reference Number | Action                            |
|----------|--------|--------------------|-------------------|------------------|----------------|------------------------|---------------|-----------------------|-----------------------------------|
| 1        |        | 1122334455         | 000335            | GBP              | 000            |                        |               |                       | <div><div></div><div></div></div> |

Page 1 of 1 (1 of 1 items)

Save & Close

Close

| Field                                  | Description                                                                                                                                                                                                                                                                   | Sample Values |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Auto Liquidate                         | Read only field.<br>Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.                                                                                                                                               |               |
| Allow Roll over                        | Enable this option to allow rollover.                                                                                                                                                                                                                                         |               |
| Auto Change from Acceptance to Advance | Read only field.<br>This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.<br><br><hr/> <b>Note</b><br>This option is applicable only for the bills that are co-accepted by the bank. |               |
| Liquidate using Collateral             | Enable this option to liquidate using Collaterals.                                                                                                                                                                                                                            |               |
| Outstanding Collateral Amount          | Read only field.<br>Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.                                                                                                                                               |               |

| Field                            | Description                                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Split Settlement                 | <p><b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p><b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> | Disable       |
| Avalization                      | This flag indicates whether avalization is enabled or not.                                                                                                                                                                                                                                                                                                            |               |
| Settlement Details - Liquidation |                                                                                                                                                                                                                                                                                                                                                                       |               |
| Component                        | Components gets defaulted based on the product selected.                                                                                                                                                                                                                                                                                                              |               |
| Currency                         | Application displays the default currency for the component.                                                                                                                                                                                                                                                                                                          |               |
| Debit/Credit                     | Application displays the debit/credit indicators for the components.                                                                                                                                                                                                                                                                                                  |               |
| Account                          | Application Displays the account details for the components.                                                                                                                                                                                                                                                                                                          |               |
| Account Description              | Application displays the description of the selected account.                                                                                                                                                                                                                                                                                                         |               |
| Branch                           | Application displays the branch of the selected account.                                                                                                                                                                                                                                                                                                              |               |
| Account Currency                 | Application defaults the currency for all the items based on the account number.                                                                                                                                                                                                                                                                                      |               |
| Original Exchange Rate           | System displays the Original Exchange Rate as simulated in settlement details section from OBTF                                                                                                                                                                                                                                                                       |               |
| Exchange Rate                    | The exchange rate for the settlement.                                                                                                                                                                                                                                                                                                                                 |               |
| Deal Reference Number            | The exchange deal reference number.                                                                                                                                                                                                                                                                                                                                   |               |
| Split Settlement                 |                                                                                                                                                                                                                                                                                                                                                                       |               |
| Component                        | Components gets defaulted based on the product selected.                                                                                                                                                                                                                                                                                                              |               |
| Currency                         | Application displays the default currency for the component.                                                                                                                                                                                                                                                                                                          |               |
| Amount                           | Amount for each component. This is populated from the transaction details of the drawing.                                                                                                                                                                                                                                                                             |               |
| Split Settlement Details         |                                                                                                                                                                                                                                                                                                                                                                       |               |
| Sequence                         | Sequence of the settlement details.                                                                                                                                                                                                                                                                                                                                   |               |



| Field                  | Description                                                                                                                          | Sample Values |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Amount                 | Amount for the split settlement.                                                                                                     |               |
| Settlement Account     | Select the settlement account for the split settlement.                                                                              |               |
| Account Customer       | Customer account.                                                                                                                    |               |
| Account Currency       | Currency of the account.                                                                                                             |               |
| Account Branch         | Branch of the customer's account.                                                                                                    |               |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF                                      |               |
| Exchange Rate          | The exchange rate for the split settlement.                                                                                          |               |
| Deal Reference Number  | The exchange deal reference number.                                                                                                  |               |
| Action                 | Click Edit icon to edit the split settlement details record.<br><br>Click Delete icon to delete the split settlement details record. |               |

### 3.3.8.6 FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

- Provide the FX linkage detail based on the description in the following table:Rate.

FX Linkage

FX Linkage

| FX Reference Number | Bought Currency | SOLD Currency | Available Contract Amount | Rate | Linked Amount | Total Utilized Amount | FX Expiry Date    | Action                            |
|---------------------|-----------------|---------------|---------------------------|------|---------------|-----------------------|-------------------|-----------------------------------|
| PK2FXF1211586018    | GBP             | USD           | £157,786.89               | 1.22 | £100.00       | £6,147.54             | December 31, 2022 | <div><div></div><div></div></div> |

Page 1 of 1 (1 of 1 Items)

<

1

>

Average FX Rate

0

Save & Close

Cancel

FX Linkage

FX Reference Number \*

032FXF2230890501

Contract Amount

AED AED 149,999,998.50

Linkage Amount \*

AED AED 27,000.00

FX Amount in Local Currency

GBP £149,999,998.50

FX Delivery Period From

Currency

AED

Available FX Contract Amount

AED AED 149,873,698.50

Rate

1.5

FX Expiry Date

Dec 30, 2025

FX Delivery Period To

Save & Close

Close

Provide the FX linkage detail based on the description in the following table:Tracers

| Field | Description | Sample Values |
|-------|-------------|---------------|
|-------|-------------|---------------|

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.

| Field                        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| FX Reference Number          | <p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p> |               |
| Currency                     | This field displays the FX BOT currency from the linked FX contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Contract Amount              | <p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| Available FX Contract Amount | <p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Linkage Amount               | <p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>                                                                                                                                                                                |               |
| Rate                         | This field displays the exchange rate defaulted from the linked FX Contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| FX Amount in Local Currency  | <p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| FX Expiry Date               | This field displays the expiry date from the linked FX contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |

| Field                                                                   | Description                                                                                                                                                                                                                                                                                                              | Sample Values |
|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| FX Delivery Period - From                                               | This field displays the date from which the contract is valid for utilization.                                                                                                                                                                                                                                           |               |
| FX Delivery Period - To                                                 | This field displays the date to which the contract is valid for utilization.                                                                                                                                                                                                                                             |               |
| Below fields appear in the FX linkage grid along with the above fields. |                                                                                                                                                                                                                                                                                                                          |               |
| Bought Currency                                                         | This field displays the currency from the linked FX contract.                                                                                                                                                                                                                                                            |               |
| Sold Currency                                                           | This field displays the currency from the linked FX contract.                                                                                                                                                                                                                                                            |               |
| Available Contract Amount                                               | Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.                                                                                                                                                                                           |               |
| Linked Amount                                                           | Sum of Linked amount will not be greater than LC contract amount.<br><br>Linked amount will not be greater than the available amount for linkage.                                                                                                                                                                        |               |
| Total Utilized amount                                                   | This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.<br><br>The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG                                |               |
| Average FX Rate                                                         | Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. |               |
| Action                                                                  | Click the Edit icon to modify the FX details.<br><br>Click the Delete icon to delete the FX details.                                                                                                                                                                                                                     |               |

### 3.3.8.7 Preview Message

User can view the draft message being displayed on the preview message text box.

Preview - SWIFT Message

Language

English

Message Type

Message Status

Repair Reason

Preview Message

Preview - Mail Advice

Language

English

Advice Type

CLOSURE\_ADVICE

Message Status

Repair Reason

Preview Message

DRAWER REFERENCE : NONE  
DRAWER COUNTRY : UNITED ARAB EMIRATES  
  
BILL AMOUNT : AED 10,000.00  
AMOUNT IN WORDS : Ten Thousand  
BILL CURRENCY : UAE Dirham  
TENOR OF BILL : Sight  
TENOR DAYS OF BILL : SIGHT  
BILL MATURITY DATE : 14-AUG-23  
CLOSURE REASON : STMM ATTN

Save & Close

| Field                   | Description                                                                                                                        | Sample Values |
|-------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Preview - SWIFT Message |                                                                                                                                    |               |
| Language                | Read only field.<br>English is set as default language for the preview.                                                            |               |
| Message type            | Select the message type from the drop down.<br>User can choose to see preview of different message like MT 700, MT 740 and MT 701. |               |
| Message Status          | Read only field.<br>Display the message status of draft message of liquidation details.                                            |               |
| Repair Reason           | Read only field.<br>Display the message repair reason of draft message of liquidation details.                                     |               |
| Preview Message         | Display a preview of the draft message.                                                                                            |               |
| Preview - Mail Device   |                                                                                                                                    |               |
| Language                | Read only field.<br>English is set as default language for the preview.                                                            |               |
| Advice Type             | Select the advice type.                                                                                                            |               |
| Message Status          | Read only field.<br>Display the message status of draft message of liquidation details.                                            |               |
| Repair Reason           | Read only field.<br>Display the message repair reason of draft message of liquidation details.                                     |               |
| Preview Message         | Display a preview of the advice.                                                                                                   |               |

### 3.3.8.8 Pre-Shipment Details

e-Shipment Details

PreShipment Details +

| Loan Account Number | Currency | Outstanding Amount | Action                                                                                                                                                                  |
|---------------------|----------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 032TAD5231510007    | AED      | 2500               |   |

Page 1 of 1 (1 of 1 items) < 1 >

Settled Finance

| Sequence Number     | Loan Account Number | Currency | Outstanding Amount | Event | Settled Amount |
|---------------------|---------------------|----------|--------------------|-------|----------------|
| No data to display. |                     |          |                    |       |                |

Page 1 (0 of 0 items) < 1 >

Save & Close Close

Provide the Liquidate Pre-Shipment Finance details based on the description in the following table:

| Field               | Description                                                                                                                          | Sample Values |
|---------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Laon Account Number | Provide the Laon account number.<br>Alternatively, user can search the CI account number in the LOV.                                 |               |
| Currency            | Read only field<br>Application defaults the currency of the Pre-Shipment Credit Number.                                              |               |
| Outstanding Amount  | Application defaults the outstanding amount of the Pre-Shipment Credit Number.                                                       |               |
| Action              | Click the Edit icon to modify the Pre-Shipment Finance details.<br>Click the Delete icon to delete the Pre-Shipment Finance details. |               |
| Settled Finance     |                                                                                                                                      |               |
| Sequence Number     | This field displays the sequence of the settled loan.                                                                                |               |
| Loan Account Number | This field displays the pre-shipment Loan Account number.                                                                            |               |
| Currency            | This field displays the currency of the pre-shipment loan account number.                                                            |               |
| Outstanding Amount  | This field displays the pre-shipment credit outstanding amount.                                                                      |               |
| Event               | This field displays the event.                                                                                                       |               |
| Settled Amount      | This field displays the settled amount.                                                                                              |               |

### 3.3.8.9 Linked Finance Details

This user can view the details of linked finance accounts.

Linked Finance Details

Linked Finance Details

| Finance Account     | Finance Currency | Finance Amount |
|---------------------|------------------|----------------|
| No data to display. |                  |                |

Cancel

Provide the linked finance details based on the description in the following table:

| Field            | Description                                     | Sample Values |
|------------------|-------------------------------------------------|---------------|
| Finance Account  | The details of the linked finance account.      |               |
| Finance Currency | Finance currency of the linked finance account. |               |
| Finance Amount   | Finance amount of the linked finance account.   |               |

### 3.3.8.10 Action Buttons

Use action buttons based on the description in the following table:

| Field           | Description                                                                                                                                                                                                                         | Sample Values |
|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents       | Click the Documents icon to View/Upload the required documents.                                                                                                                                                                     |               |
| Remarks         | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application. |               |
| Overrides       | Click to view the overrides accepted by the user.                                                                                                                                                                                   |               |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields.                                                                                                                                           |               |
| Save & Close    | Save the details provided and holds the task in 'My Task' queue for further update.<br><br>This option will not submit the request.                                                                                                 |               |
| Cancel          | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                            |               |
| Hold            | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br><br>This option is used, if there are any pending information yet to be received from applicant. |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

### 3.3.9 Settlement Details

As part of DE user can verify and enter the basic settlement details available in the Islamic Export Collection Liquidation. In case the request is received through online channel user will



verify the details populated. Provide the settlement details based on the description in the following table:

**ORACLE** ( DEFAULTTENITY) Oracle Banking Trade Finan May 24, 2021 ZARTA subham@gmail

mic Export Documentary Collection Liquidation :aEnrichment : Application No- PK2IEDL000026634

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details Other Details Shipment Details Maturity Details Additional Fields Advices Additional Details **Settlement Details** Summary

Screen ( 9 /

**Settlement Details**

☐ Current Event

| Component       | Currency | Debit/Credit | Account       | Account Description | Account Currency | Netting Indicator | Current Event | Original Exchange Rate | Ext |
|-----------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|------------------------|-----|
| BILL_LIQ_AMT    | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | Yes           | 1                      |     |
| BILL_LIQ_AMTEQ  | GBP      | Credit       | PK20010440017 | FIXNETIX            | GBP              | No                | Yes           | 1                      |     |
| BKTAX_AMT       | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            | 1                      |     |
| IBCOUR_LIQD     | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            | 1                      |     |
| IBLIQCG_LIQD    | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | Yes           | 1                      |     |
| IBOPNCG_LIQD    | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            | 1                      |     |
| IBSWIFT_LIQD    | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            | 1                      |     |
| IIB_ACP_IN_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            | 1                      |     |
| LQTAX_AMT       | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | Yes           | 1                      |     |

**AMT\_PURCHASEDEQ - Party Details**

Transfer Type: None

Charge Details: Senders Correspondent: Beneficiary Institution: Receiver: 032204

Netting Indicator: No

Receivers Correspondent: Ultimate Beneficiary: Ordering Customer: AAEMNL21 ANTHOS ASSET

Intermediary Institution: Intermediary Reimbursement Institution:

**Payment Details**

Sender To Receiver 1: Only /BX/XXX format is allowed

Sender To Receiver 2: /BX/XXX or /XXX format is allowed

Sender To Receiver 3: /BX/XXX or /XXX format is allowed

Sender To Receiver 4: /BX/XXX or /XXX format is allowed

Sender To Receiver 5: /BX/XXX or /XXX format is allowed

Sender To Receiver 6: /BX/XXX or /XXX format is allowed

**Remittance Information**

Payment Detail 1: Payment Detail 2: Payment Detail 3: Payment Detail 4:

Request Clarification Reject Refer Hold Cancel Save & Close Back No

| Field               | Description                                                                                                                                                                                                                                         | Sample Values |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Current Event       | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. |               |
| Component           | Components gets defaulted based on the product selected.                                                                                                                                                                                            |               |
| Currency            | Application displays the default currency for the component.                                                                                                                                                                                        |               |
| Debit/Credit        | Application displays the debit/credit indicators for the components.                                                                                                                                                                                |               |
| Account             | Application Displays the account details for the components.                                                                                                                                                                                        |               |
| Account Description | Application displays the description of the selected account.                                                                                                                                                                                       |               |
| Account Currency    | Application defaults the currency for all the items based on the account number.                                                                                                                                                                    |               |
| Netting Indicator   | Application displays the applicable netting indicator.                                                                                                                                                                                              |               |
| Current Event       | Application displays the current event as Y or N.                                                                                                                                                                                                   |               |

| Field                  | Description                                                                                     | Sample Values |
|------------------------|-------------------------------------------------------------------------------------------------|---------------|
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF |               |
| Exchange Rate          | The exchange rate.                                                                              |               |
| Deal Reference Number  | The exchange deal reference number.                                                             |               |

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

### 3.3.9.1 Party Details

Provide the party details based on the description in the following table:

| Field                                  | Description                                                                                                                                                                                                                                                                           | Sample Values |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Transfer Type                          | Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul> |               |
| Charge Details                         | Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>                                                                                           |               |
| Netting Indicator                      | Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>                                                                                                                                                                 |               |
| Ordering Customer                      | Select the ordering customer from the LOV.                                                                                                                                                                                                                                            |               |
| Ordering Institution                   | Select the ordering institution from the LOV.                                                                                                                                                                                                                                         |               |
| Senders Correspondent                  | Select the senders correspondent from the LOV.                                                                                                                                                                                                                                        |               |
| Receivers Correspondent                | Select the receivers correspondent from the LOV.                                                                                                                                                                                                                                      |               |
| Intermediary Institution               | Select the intermediary institution from the LOV.                                                                                                                                                                                                                                     |               |
| Account with Institution               | Select the account with institution from the LOV.                                                                                                                                                                                                                                     |               |
| Beneficiary Institution                | Select the beneficiary institution from the LOV.                                                                                                                                                                                                                                      |               |
| Ultimate Beneficiary                   | Select the ultimate beneficiary from the LOV.                                                                                                                                                                                                                                         |               |
| Intermediary Reimbursement Institution | Select the intermediary reimbursement institution from the LOV.                                                                                                                                                                                                                       |               |

| Field    | Description                       | Sample Values |
|----------|-----------------------------------|---------------|
| Receiver | Select the receiver from the LOV. |               |

### 3.3.9.2 Payment Details

Provide the Payment Details based on the description in the following table:

| Field                | Description                             | Sample Values |
|----------------------|-----------------------------------------|---------------|
| Sender to Receiver 1 | Provide the sender to receiver message. |               |
| Sender to Receiver 2 | Provide the sender to receiver message. |               |
| Sender to Receiver 3 | Provide the sender to receiver message. |               |
| Sender to Receiver 4 | Provide the sender to receiver message. |               |
| Sender to Receiver 5 | Provide the sender to receiver message. |               |
| Sender to Receiver 6 | Provide the sender to receiver message. |               |

### 3.3.9.3 Remittance Information

Provide the Payment Details based on the description in the following table:

| Field            | Description                  | Sample Values |
|------------------|------------------------------|---------------|
| Payment Detail 1 | Provide the payment details. |               |
| Payment Detail 2 | Provide the payment details. |               |
| Payment Detail 3 | Provide the payment details. |               |
| Payment Detail 4 | Provide the payment details. |               |

### 3.3.10 Summary

User can review the summary of details updated in Data Enrichment stage of Islamic Export Documentary Collection Liquidation request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

**Summary**

| Main Details                                                                                       | Document Details                                                                      | Other Details                                                                              | Shipment Details                                                                         | Maturity Details                                                         | Additional Fields                      | Advices                           |
|----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------|-----------------------------------|
| Booking Date : 2023-08-03<br>Submission Mode : <b>Bank</b><br>Liquidation Amount : <b>AED 1800</b> | Document 1 : <b>BOL</b><br>Document 2 : <b>AWL</b><br>Document 3 : <b>PACKINGLIST</b> | Value Date : 2023-08-03<br>Debit Value Date : 2023-08-03<br>Credit Value Date : 2023-08-03 | Port of Loading :<br>Port of Discharge :<br>Shipment Date : 2023-08-03<br>Carrier Name : | Tenor Type : <b>Sight</b><br>Tenor Basis :<br>Maturity Date : 2023-08-03 | Click here to view Additional Fields : | Advice 1 : <b>PAYMENT_MESS...</b> |

| Limits and Collaterals                                                                                                                                                                                                              | Commission, Charges and taxes                                            | Preview Messages                             | FX Linkage                                                    | Payment Details                                                                 | Settlement Details                                                              | Parties Details                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------------|
| Contribution Currency :<br>Amount to Remarks :<br>Limit Status : <b>Not Verified</b><br>Collateral Currency :<br>Collateral Contn :<br>Collateral Status : <b>Not Verified</b><br>Deposit Linkage CCY :<br>Deposit Linkage Amount : | Charge :<br>Commission :<br>Tax :<br>Block Status : <b>Not Initiated</b> | Language : <b>ENG</b><br>Preview Message : - | Reference Number :<br>Linkage Amount :<br>Contract Currency : | Advance by Loan :<br>Allow Rollover : <b>No</b><br>Liquidate using Collateral : | Component : <b>BILL_LHQ_AMT...</b><br>Account Number :<br>Currency : <b>AED</b> | Drawee : <b>Agthia Group</b><br>Drawer : <b>Etsalad</b> |

| Compliance details                                                                                    | Profit Details                     | Accounting Details                                                              |
|-------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------------------------------------------------------------|
| KYC : <b>Not Initiated...</b><br>Sanctions : <b>Not Initiated...</b><br>AML : <b>Not Initiated...</b> | Component :<br>Amount :<br>Event : | Event : <b>1800</b><br>AccountNumber : <b>5200000001</b><br>Branch : <b>091</b> |

### Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Documents - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view Commission, Charges and Taxes details.
- Preview Messages - User can view the preview message.
- FX Linkage - User can view the details of FX Linkage.
- Payment Details - User can view the payment details.
- Settlement Details - User can view settlement details.
- Party Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.
- Accounting Details - User can view the accounting entries generated in back office.

### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

### 3.3.10.1 Action Buttons

Use action buttons based on the description in the following table:

| Field           | Description                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents       | Click the Documents icon to View/Upload the required documents.                                                                                                                                                                                                                                                                                       |               |
| Remarks         | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                                                                   |               |
| Overrides       | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                     |               |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields.                                                                                                                                                                                                                                                             |               |
| Submit          | Task will move to next logical stage of Export Documentary Collection Liquidation.<br><br>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office. |               |
| Save & Close    | Save the details provided and holds the task in 'My Task' queue for further update.<br><br>This option will not submit the request.                                                                                                                                                                                                                   |               |
| Cancel          | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                              |               |
| Hold            | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br><br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                   |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |

## 3.4 Exceptions

The Export Collection Liquidation Islamic request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

### 3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block exception tasks for Trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### 3.4.1.1 Amount Block Exception

This section will display the amount block exception details.

### 3.4.1.2 Summary

nic Export Documentary Collection Liquidation  
Amount Block Exception Approval: Application No:- 000IED8000012120

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

KYC Exception Summary Screen (1)

| Main Details                         |                | Document Details |              | Other Details          |                | Shipment Details              |                | Maturity Details |                |
|--------------------------------------|----------------|------------------|--------------|------------------------|----------------|-------------------------------|----------------|------------------|----------------|
| Booking Date                         | : 2021-05-05   | Document 1       | : AIRDOC     | Value Date             | : 2021-05-05   | Port of Loading               | :              | Tenor Type       | : Sight        |
| Submission Mode                      | : Desk         | Document 2       | : BOL        | Debit Value Date       | : 2021-05-05   | Port of Discharge             | :              |                  |                |
| Liquidation Amount                   | : GBP 0        | Document 3       | : INSDOC     | Credit Value Date      | : 2021-05-05   | Shipment Date                 | :              |                  |                |
|                                      |                | Document 4       | : INVDOC     |                        |                | Carrier Name                  | :              |                  |                |
|                                      |                | Document 5       | : MARDOC     |                        |                |                               |                |                  |                |
| Additional Fields                    |                | Advices          |              | Limits and Collaterals |                | Commission, Charges and taxes |                | Preview Messages |                |
| Click here to view Additional Fields | :              | Advice 1         | : PAYMENT_ME | Limit Currency         | :              | Charge                        | :              | Language         | : ENG          |
|                                      |                |                  |              | Limit Contribution     | :              | Commission                    | :              | Preview Message  | : -            |
|                                      |                |                  |              | Limit Status           | : Not Verified | Tax                           | :              |                  |                |
|                                      |                |                  |              | Collateral Currency    | : GBP          | Block Status                  | : Not Initia   |                  |                |
|                                      |                |                  |              | Collateral Contr.      | : 1100         |                               |                |                  |                |
|                                      |                |                  |              | Collateral Status      | : Not Verified |                               |                |                  |                |
| FX Linkage                           |                | Loan Preference  |              | Payment Details        |                | Settlement Details            |                | Parties Details  |                |
| Reference Number                     | :              | FinanceProduct   | :            | AdvanceByFinance       | : No           | Component                     | : LQTAX_AMT    | Presenting Bank  | : FIXNETIX     |
| Contract Amount                      | :              | LinkageRefNo     | :            | AllowRollover          | : No           | Account Number                | : PK1000325036 | Drawer           | : PHIL HAMPTON |
| Contract Currency                    | :              | FinanceTenor     | :            | Liquidateusing         | : No           | Currency                      | : GBP          | Drawee           | : NATIONAL F   |
|                                      |                | FinanceCcy       | :            | Collateral             | :              |                               |                |                  |                |
|                                      |                | FinanceAmt       | :            |                        |                |                               |                |                  |                |
|                                      |                | FinanceMaturity  | :            |                        |                |                               |                |                  |                |
| Compliance details                   |                | Profit Details   |              |                        |                |                               |                |                  |                |
| KYC                                  | : Not Verified | Component        | :            |                        |                |                               |                |                  |                |
| Sanctions                            | : Not Initia   | Amount           | :            |                        |                |                               |                |                  |                |
| AML                                  | : Not Initia   | Event            | :            |                        |                |                               |                |                  |                |

Reject Refer Hold Approve Back No

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view the limits and collateral details.
- Commission, Charges and Taxes Details - User can view the details provided for charges, commission and taxes.

- Preview Messages - User can view the preview of the simulating message to the remitting bank.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.

### 3.4.1.3 **Action Buttons**

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>                                                                                                  |               |
| Cancel  | <p>Cancel the Export Collection Booking Amount Block Exception check.</p>                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>                                                                                                                                                                                                                                                                                                                                                          |               |



| Field | Description                          | Sample Values |
|-------|--------------------------------------|---------------|
| Back  | Task moves to previous logical step. |               |

### 3.4.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

#### 3.4.2.1 Summary

Exception Summary

Summary

| Main Details                                                                                                                                                                                                          | Document Details                                                  | Other Details                                                                              | Shipment Details                                                                         | Maturity Details                                                          | Additional Fields                                                            | Advices                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------------------------------------|
| Booking Date : 2023-08-03<br>Submission Mode : Desk<br>Liquidation Amount : AED 1800                                                                                                                                  | Document 1 : PACKINGLIST<br>Document 2 : BOL<br>Document 3 : AIR  | Value Date : 2023-08-03<br>Debit Value Date : 2023-08-03<br>Credit Value Date : 2023-08-03 | Port of Loading :<br>Port of Discharge :<br>Shipment Date : 2023-08-03<br>Carrier Name : | Tenor Type : Sight<br>Tenor Basis :<br>Maturity Date : 2023-08-03         | Click here to view Additional Fields                                         | Advice 1 : PAYMENT_MESS...                |
| Limits and Collaterals                                                                                                                                                                                                | Commission, Charges and taxes                                     | Preview Messages                                                                           | FX Linkage                                                                               | Payment Details                                                           | Settlement Details                                                           | Parties Details                           |
| Contribution Currency :<br>Amount to Earmark :<br>Limit Status : Not Verified<br>Collateral Currency :<br>Collateral Contr :<br>Collateral Status : Not Verified<br>Deposit Linkage CCY :<br>Deposit Linkage Amount : | Charge :<br>Commission :<br>Tax :<br>Block Status : Not Initialed | Language : ENG<br>Preview Message :                                                        | Reference Number :<br>Linkage Amount :<br>Contract Currency :                            | Advance by Loan :<br>Allow Roll-over : No<br>Liquidate using Collateral : | Component : BILL_LIQ_AMT...<br>Account Number : 0912130011<br>Currency : AED | Drawer : Elnabat<br>Drawee : Agthia Group |
| Compliance details                                                                                                                                                                                                    | Profit Details                                                    |                                                                                            |                                                                                          |                                                                           |                                                                              |                                           |
| KYC : Not Verified<br>Sanctions : Not Initialed...<br>AML : Not Initialed...                                                                                                                                          | Component :<br>Amount :<br>Event :                                |                                                                                            |                                                                                          |                                                                           |                                                                              |                                           |

Reject

Refer

Hold

Approve

Back

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view the limits and collateral details.

- Commission, Charges and Taxes Details - User can view the details provided for charges, commission and taxes.
- Preview Messages - User can view the preview of the simulating message to the remitting bank.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.

### 3.4.2.2 Action Buttons

Use action buttons based on the description in the following table:

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold   | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |
| Refer  | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>                                                                                                  |               |
| Cancel | <p>Cancel the Export Collection Liquidation KYC exception check.</p>                                                                                                                                                                                                                                                                                                                                                                                                                |               |

| Field   | Description                                                                                                         | Sample Values |
|---------|---------------------------------------------------------------------------------------------------------------------|---------------|
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. |               |
| Back    | Task moves to previous logical step.                                                                                |               |

### 3.4.3 **Exception - Limit Check/Credit**

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

---

#### **Note**

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

#### **Approve**

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### **Refer**

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### **Reject**

The transaction due to non-availability of limits capturing reject reason.

#### 3.4.3.1 **Limit/Credit Check**

This section will display the amount block exception details.

#### 3.4.3.2 **Summary**

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.

- Limits and Collaterals - User can view the limits and collateral details.
- Commission, Charges and Taxes Details - User can view the details provided for charges, commission and taxes.
- Preview Messages - User can view the preview of the simulating message to the remitting bank.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.

### 3.4.3.3 Action Buttons

Use action buttons based on the description in the following table:

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold   | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |
| Refer  | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>                                                                                                  |               |
| Cancel | <p>Cancel the Export Collection Booking Limit exception check.</p>                                                                                                                                                                                                                                                                                                                                                                                                                  |               |

| Field   | Description                                                                                                         | Sample Values |
|---------|---------------------------------------------------------------------------------------------------------------------|---------------|
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. |               |
| Back    | Task moves to previous logical step.                                                                                |               |

## 3.5 Multi Level Approval

User, can view the summary of details Liquidation in multilevel approval stage of Islamic Export Collection Liquidation request

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization user can acquire the task for approving.

User can see the summary tiles. The tiles should display a list of important fields with values. User can also drill down from summary Tiles into respective data segments where user can verify the details of all fields under the data segment.

---

### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

### 3.5.1 Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Liquidation Amount
- Liquidation Currency Code

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Tasks

Refresh Acquire Flow Diagram

| Acquire & E... | Priority | Process Name               | Process Reference Num... | Application Numb... | Stage    | Application Date | Branch | Customer Number | Amount       |
|----------------|----------|----------------------------|--------------------------|---------------------|----------|------------------|--------|-----------------|--------------|
| Acquire & E... | Medium   | Guarantee Issuance         | 032GTEI000002251         | 032GTEI000002251    | Scrutiny | 23-08-03         | 032    | 032209          | AED100.00    |
| Acquire & E... | Medium   | Import LC Issuance         | 032ILCI000002246         |                     |          | 23-08-03         | 032    | 032204          | £9,000.00    |
| Acquire & E... | Medium   | Shipping Guarantee Iss...  | 032SGTI000002245         |                     |          | 23-08-03         | 032    | 032204          | AED22,000.00 |
| Acquire & E... | Medium   | Import LC Issuance Isla... | 032ILUI000002236         |                     |          | 23-08-03         | 032    | 032209          | AED1,000.00  |
| Acquire & E... | Medium   | Guarantee Advise           | 032GTEA000002051         |                     |          | 23-08-03         | 032    | 220011          | £100.00      |
| Acquire & E... | Medium   | Guarantee SBLC Advise...   | 032GADC000002195         |                     |          | 23-08-03         | 032    | 032204          | AED100.00    |
| Acquire & E... | Medium   | Guarantee SBLC Advise...   | 032GADC000002194         |                     |          | 23-08-03         | 032    | 032204          | AED100.00    |
| Acquire & E... | Medium   | Import LC Amendment        | 032ILCA000002193         |                     |          | 23-08-03         | 032    | 032209          | AED50,000.00 |
| Acquire & E... | Medium   | Guarantee SBLC Advise...   | 032GADC000002188         |                     |          | 23-08-03         | 032    | 032204          | AED100.00    |
| Acquire & E... | Medium   | Guarantee SBLC Advise...   | 032GADC000002183         |                     |          | 23-08-03         | 032    | 032204          | AED100.00    |

1 of 9 (1 - 20 of 173 items) K < 1 2 3 4 5 ... 9 >

Approval Rekey

View Signature Documents Remarks

Liquidation Amount

AED AED 10,000.00 ✓

Liquidation Currency Code

AED ✓

Refer Close Proceed

### 3.5.2 Summary

Export Documentary Collection Liquidation  
Task Level 1 :- Application No:- PK2IEDL000011377

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

| Main Details                                                                                        | Document Details                                                                                                                                                                                | Other Details                                                                                             | Shipment Details                                                                                 | Maturity Details                                                              | Additional Fields                                                                       |
|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| <p>Booking Date : 2021-05-05</p> <p>Submission Mode : Desk</p> <p>Liquidation Amount : GBP null</p> | <p>Document 1 : AIRDOC</p> <p>Document 2 : BOL</p> <p>Document 3 : INSDOC</p> <p>Document 4 : INVDOC</p> <p>Document 5 : MARDOC</p>                                                             | <p>Value Date : 2021-05-05</p> <p>Debit Value Date : 2021-05-05</p> <p>Credit Value Date : 2021-05-05</p> | <p>Port of Loading :</p> <p>Port of Discharge :</p> <p>Shipment Date :</p> <p>Carrier Name :</p> | <p>Tenor Type : Sight</p>                                                     | <p>Click here to view Additional fields</p>                                             |
| Advices                                                                                             | Limits and Collaterals                                                                                                                                                                          | Commission, Charges and taxes                                                                             | Preview Messages                                                                                 | FX Linkage                                                                    | Payment Details                                                                         |
| <p>Advice 1 : PAYMENT_ME</p>                                                                        | <p>Limit Currency :</p> <p>Limit Contribution :</p> <p>Limit Status : Not Verified</p> <p>Collateral Currency : GBP</p> <p>Collateral Contr. : 1100</p> <p>Collateral Status : Not Verified</p> | <p>Charge :</p> <p>Commission :</p> <p>Tax :</p> <p>Block Status : Not Initia</p>                         | <p>Language : ENG</p> <p>Preview Message : -</p>                                                 | <p>Reference Number :</p> <p>Contract Amount :</p> <p>Contract Currency :</p> | <p>Advance by Loan :</p> <p>Allow Rollover : No</p> <p>Liquidate using Collateral :</p> |
| Settlement Details                                                                                  | Parties Details                                                                                                                                                                                 | Compliance details                                                                                        | Accounting Details                                                                               | Profit Details                                                                | Exception(Approval)                                                                     |
| <p>Component : LQTAX_AMT</p> <p>Account Number :</p> <p>Currency : GBP</p>                          | <p>Drawee : NATIONAL F</p> <p>Presenting Bank : FIXNETIX</p> <p>Drawer : PHIL HAMPTON</p>                                                                                                       | <p>KYC : Not Verified</p> <p>Sanctions : Verified</p> <p>AML : Verified</p>                               | <p>Event :</p> <p>AccountNumber :</p> <p>Branch :</p>                                            | <p>Component :</p> <p>Amount :</p> <p>Event :</p>                             | <p>KYC : EXCEPTION</p> <p>PLEASE VISIT REMARKS FOR MORE DETAILS</p>                     |

Reject Hold Refer Cancel Appro

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Documents - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and taxes - User can view commission, charges and taxes details.

- Preview Message - User can view the preview message.
- FX Linkage - User can view the details of FX Linkage.
- Payment Details - User can view the payment details.
- Settlement Details - User can view settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.

---

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Profit Details - User can view the profit details.
- Exception (Approval) - User can view the exception (Approval) details.

### 3.5.2.1 Action Buttons

Use action buttons based on the description in the following table:

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold   | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |

| Field   | Description                                                                                                                                                                                                                                                                                                                                                             | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer   | User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.<br>Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul> |               |
| Cancel  | Cancel the approval.                                                                                                                                                                                                                                                                                                                                                    |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.                                                                    |               |

## 3.6 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### 3.6.1 Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.



- Message Preview - User can view the preview of the simulating message to the remitting bank.

### 3.6.2 Action Buttons

Use action buttons based on the description in the following table:

| Field          | Description                                                                                                                                   | Sample Values |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject Approve | On click of Reject Approve, the transaction is rejected.                                                                                      |               |
| Reject Decline | On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks. |               |
| Hold           | User can put the transaction on 'Hold'. Task will remain in Pending state.                                                                    |               |
| Cancel         | Cancel the Reject Approval.                                                                                                                   |               |

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